



Full Length Article

Detailed study of reactively sputtered ScN thin films at room temperature

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ABSTRACT

To contemplate an alternative approach for the minimization of diffusion at high temperature, present findings impart viability of room-temperature deposited reactively sputtered ScN thin films. The adopted route endows precise control over the R_{N_2} flow for a methodical structural phase evolution from Sc→ScN and probe the correlated physical aspects of the highly textured ScN samples. In the nitrided regime i.e. at $R_{N_2} = 2.5$ –100% flow, incorporation of unintentional oxygen defects was evidenced from surface sensitive soft x-ray absorption spectroscopy study, though less compared to their metal ($R_{N_2} = 0\%$) and interstitial ($R_{N_2} = 1.6\%$) counterparts, due to higher Gibbs free energy for Sc-O-N formation with no trace of ligand field splitting around the O K-edge spectra. To eradicate the skepticism of appearance of N K-edge (401.6 eV) and Sc L-edge (402.2 eV) absorption spectra adjacent to each other, the first-ever Sc K-edge study has been adopted to validate complementary insight on the metrical parameters of the Sc-N system. Optical bandgaps of the polycrystalline ScN thin film samples were found to vary between 2.25 and 2.62 eV as obtained from the UV-vis spectroscopy, whereas, the nano-indentation hardness and modulus of the as-deposited samples lie between 15–34 GPa and 152–476 GPa, respectively following a linearly increasing trend of resistance to plastic deformations with an exception at 34 GPa in case of $R_{N_2} = 5\%$ sample. Besides, contrary to other early 3d transition metal nitrides (TiN, VN, CrN), a comprehensive comparison of noticeably large homogeneity range in Sc-N has been outlined to apprehend the minuscule lattice expansion over the large R_{N_2} realm.

1. Introduction

Early 3d transition metal nitrides (TMNs) e.g. ScN, TiN, VN and CrN even though crystallizes in cubic rocksalt-type B1 structure, but distinct band structure manifests heterogeneous electrical conductivity within the family of early TMNs [1,2]. Among them, ScN as a semiconductor sought a profound research attention in recent times, whereas, the rest of the early 3d TMNs exhibit metallic nature and are substantially well explored since decades [2,3]. Apart from ScN been a pre-eminent refractory compound (melting point exceeding ≈ 2873 K, corrosion resistant, high hardness of ≈ 21 GPa) [3] exhibiting high thermoelectric figure-of-merit (0.3 at 800 K) [4] and assist as a template for the growth of GaN with low dislocation density [5], ScN further possess immense function-

alities in conjunction with other TMNs viz. $Sc_xGa_{1-x}N$ as light emitting diodes [6], $Al_{1-x}Sc_xN$ as MEMS magnetoelectric sensors [7,8], epitaxial (Zr,W)N/ScN - metal/semiconductor superlattices as thermionic energy conversion devices [9] etc. Besides these intriguing aspects, the lowest enthalpy of formation ($\Delta H_f^0 = -19.79$ eV/atom) [10] Sc-O of Sc compared to other TMNs is the principal intricacy for synthesis of pure ScN, resultant being an unintentional n-type degenerate semiconductor [11,12].

Hence for application based perspectives, to modulate the band structure engineering for superior device performances, so far, most of the studies adopted high vacuum ($\leq 10^{-8}$ Torr) depositions to ensure low defect concentrations with atomically smooth epitaxial growth of ScN thin film samples on variety of single crystal substrates (MgO, Al_2O_3 , GaN, SiC etc.) [13–16], and few of them aided with process pa-

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Table 1

ScN thin film samples deposited at various substrate temperature (T_s); sputter power (P) on different substrates with their lattice parameter (LP) and bandgap (E_g) values. Here, dcMS = Direct current magnetron sputtering; d MBE = Molecular beam epitaxy; RMS = Reactive magnetron sputtering, 300[†] = amorphous at 300 K; GGA-PBE = Perdew-Burke-Ernzerhof GGA exchange correlation functional; HSE06 = Heyd-Scuseria-Ernzerhof Hybrid functional; FLAWP = Full-potential linearized augmented plane wave method; FLAWP-GGA = FLAWP with generalized gradient approximation; LDA = Local density approximation, GGA + U = GGA with Hubbard U correction.

Exp. Tech.	Substrate	Process Parameters	LP (Å)	E_g (eV)	Ref.
dcMS	MgO (001) & Si (001)	$T_s = 1103$ K, P=150 W	4.50	-	[4]
dcMS	c-plane Al ₂ O ₃ , MgO (111) & r-plane Al ₂ O ₃	$T_s = 973 - 1223$ K, P = 125 W	4.504 - 4.512	-	[16]
dcMS	MgO (001)	$T_s = 1123$ & 1223 K, P = 60 - 300 W	4.50	2.18 - 2.7	[31]
dcMS	MgO (001)	$T_s = 973$ K	4.573	2.59	[28]
MBE	GaN (0001), SiC (0001) & AlN (0001)	$T_s = 1023$ K, P = 200 W	4.497	2.1	[15]
RMS	MgO (001)	$T_s = 823$ K, P = 25 - 127 W	4.52 - 4.54	2.1	[27]
dcMS	MgO (001)	$T_s = 873 - 1073$ K, P = 125 W	4.50	2.19 - 2.23	[17]
dcMS	c-plane Al ₂ O ₃ & Si	$T_s = 300^{\dagger} - 1023$ K, P = 40 W	≈4.47 - 4.52,	2.2 - 3.1	[17]
MBE	MgO (001),	$T_s = 1073$ K,	-	2.15	[32]
dcMS	Quartz & Si (100)	$T_s = 300$ K, P = 100 W	4.49 - 4.567	2.25 - 2.62	this work
Theoretical					
GGA-PBE & HSE06	-	-	4.519 4.499	2.02 -	[31]
FLAPW & FLAPW-GGA	-	-	4.42 4.50	- -	[33]
LDA	-	-	4.47	-	[34]
GGA+U	-	-	4.52	1.86	[35]

parameters are tabulated in Table 1. As can be seen from Table 1, conventional use of high substrate temperature ($T_s \geq 823$ K) has been an integral part during the synthesis of ScN thin film samples, possibly due to higher adatom mobility promoting enhanced crystalline defect free ScN growth [17,18]. In addition, intensive research attention have also been dedicated to get an insight on explicit defect contributions and microstructural growth behavior (e.g. dislocations, twin domains etc.) of ScN samples and when fabricated with other metals and/or TMNs as in metal/semiconductor superlattices, multilayers etc [1,4,19,20].

In terms of defects, even though it is well known that during the growth of ScN itself, finite incorporation of substitutional (O_N) and/or interstitial oxygen (O_i) is inherent regardless of T_s [16,19,21], but combined study of first principles density functional theory (DFT) with site occupancy disorder technique reveals that the electronic band structure of ScN remains unaltered despite of a shift of the Fermi energy level to the bottom of the conduction band [4]. Nonetheless, only recently, the primary contribution of oxygen incorporation has also been attributed to the surface oxidation [13,17]. Howbeit, nitrogen vacancies (V_N) are known to form a defect energy level at ≈ 1.26 eV above the valence band maxima at Γ point of the Brillouin zone [22]. In this context, it is to be mentioned here that significance of high T_s depositions in suppression of defects were found to be conflicting in literature [13,17,23,24], yet have not been highlighted so far.

Furthermore, as regards to technological viability in electronics viz. CMOS integrated circuits, circuits on plastic substrates etc, high T_s synthesis is highly undesirable [25]. Moreover, the extent of epitaxial strain and diffusion across the metal-semiconductor superlattice and/or film-substrate interfaces will be comparatively high at a high T_s regime [12,26], which could limit the device performances in practical applications. Additionally, interdiffusion across film-substrate interfaces

are also pronounced at high T_s depositions [22,27]. In view of this, contrary to high T_s depositions, we adopted a room temperature deposited reactive magnetron sputtering technique for the synthesis of ScN thin film samples, as ScN favors thermodynamical growth conditions even at 298 K ($\Delta H_f^0 = -3.29$ eV/atom). Such temperature regime also paves the way for precise control over variation of relative N_2 partial pressures (R_{N_2}) to probe the structural phase evolution from hexagonal close packed (hcp) Sc to rocksalt-type face centered cubic (fcc) ScN, which is still ambiguous.

In order to probe the electronic structure of ScN, in spite of the theoretical predictions [5,19], so far, usually x-ray photoelectron spectroscopy (XPS) or soft x-ray absorption spectroscopy (XAS) at N K-edge (401.6 eV) and Sc L-edge (402.2 eV) were considered in handful of works [5,22,28,29]. But, since the two absorption edges appear very close to each other and moreover, both XPS and soft XAS are known to be surface sensitive techniques [30], an alternative powerful technique such as XAS at Sc K-edge can provide better insight on the metric parameters at atomic scale level. With this motif, for the first time, Sc K-edge XAS was implemented on the Sc-N system complementary to soft XAS. In spite of recent surge in investigation of various physical properties, further realization of variation of N were systematically demonstrated in terms of structural, electronic, optical and mechanical responses of room temperature deposited ScN thin film samples which are still missing in literature.

2. Experimental

Metallic Sc and a series of ScN thin film samples were deposited on amorphous quartz and single crystal Si (100) substrates at various R_{N_2} [$= P_{N_2}/(P_{N_2} + P_{Ar})$], where P_{N_2} and P_{Ar} are nitrogen and argon partial

pressures, respectively] flow = 1.6, 2.5, 5, 10, 25, 50 and 100% in closed intervals using a direct current magnetron sputtering (dcMS) at ambient temperature (≈ 300 K). For thin film deposition, a Sc (99.95% pure) 3-inch target was sputtered in the presence of 5N purity Ar and/or N_2 gas flows. Prior to the deposition, the substrates were cleaned in an ultrasonic bath of acetone followed by methanol wiping with dry air blown and were loaded into the chamber. Subsequently, the sample holder was baked for 1 h at 573 K and then cool down to room temperature to achieve a base-pressure of about 1×10^{-7} Torr or lower. During deposition, the working pressure was $\approx 3 \times 10^{-3}$ Torr and the substrate holder rotation was kept fixed at 60 rpm to get better uniformity of the samples.

For thickness calibration of the samples, x-ray reflectivity (XRR) measurements were performed using $Cu-K\alpha$ x-rays on a Bruker D8 Discover system. Once the deposition rate was obtained from the fitting of the XRR data as shown in the Supplemental Material (SM) [36], typically 200 nm thick samples were prepared following the similar deposition procedure. The depth profiling of samples was also probed using secondary ion mass spectroscopy as shown in the SM [36]. The structural characterization of samples were carried out using x-ray diffraction (XRD) using a Bruker D8 Advance XRD system based on θ - 2θ Bragg-Brentano geometry with $Cu-K\alpha$ (1.54 \AA) x-rays and detected using a fast 1D detector (Bruker LynxEye). To probe the local electronic structure, surface sensitive soft XAS measurements were performed at N K-edge and Sc $L_{(III,II)}$ -edges in total electron yield (TEY) mode at BL-01 beamline of Indus-2 synchrotron radiation source [37] at RRCAT, Indore, India. Complementary to soft XAS, to get an elementary insight probing the deep core level in atomic scale regime, Sc K-edge XAS measurements were performed in fluorescence mode at BL-09 beamline at RRCAT, Indore, India and also at P64 beamline of PETRA-III, DESY, Germany [38]. XAS data taken at Sc K-edge from both beamlines were found to be similar and X-ray near edge structure (XANES) data taken at BL-09 and extended x-ray absorption fine structure (EXAFS) data taken at P64 has been included. The obtained data was processed in Athena software [39] with pre and post-edge normalization [40] and fitting of the Fourier Transform (FT) spectra were performed using a software code developed by Conradson et al. [41]. The fitted R range was taken from 0 to 10 \AA , while the used k -range was 3 to 8 \AA^{-1} .

The optical absorption spectra of the ScN thin film samples were recorded by Perkin Elmer, Lambda-750 UV-Visible spectrophotometer with double beam monochromator in the wavelength range of 250–1000 nm at room temperature. The reflectance of the recorded data were converted to absorption spectra using Kubelka–Munk radiative transfer model, which is associated with the absorption coefficient (α) [42] of the ScN thin film samples. To measure the hardness and elastic modulus of the samples, nanoindentation tests (Anton Paar, Switzerland) were performed using Berkovich diamond indenter tip with standard loading and unloading procedure based on Oliver and Pharr model [43]. In order to suppress the substrate effects, the measurements were performed on one-tenth of the total sample thickness [44].

It was found that the growth of samples on Si or quartz substrates was identical. Samples deposited on amorphous quartz were used in XRD, XAS and UV-vis measurements, whereas, samples deposited on Si (100) substrates were utilized in rest of the measurements.

3. Results

3.1. X-ray diffraction

To take into account the phase formation of as-deposited samples, Fig. 1(a) illustrates the XRD data of Sc and ScN thin film samples and are compared with bulk references [31,45], whereas, Fig. 1(b) demonstrates the obtained variations in the lattice parameters (LP) and crystallite size as a function of R_{N_2} . In addition, the highlighted region (in cyan) of Fig. 1(b) depicts the experimentally obtained LP of ScN thin film samples in literature and the red dotted line is a guide to eye for the theoretical predicted value of bulk ScN [31]. As can be seen from

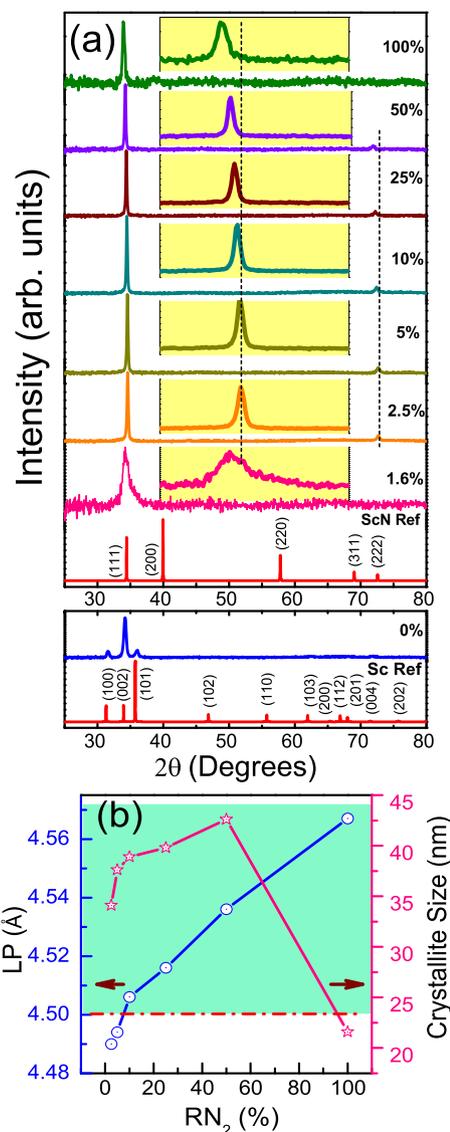


Fig. 1. XRD pattern (a) and obtained variations in the lattice parameters and crystallite size (b) as a function of R_{N_2} of pure Sc and ScN samples deposited at various $R_{N_2} = 1.6, 2.5, 5, 10, 25, 50$ and 100% deposited on amorphous quartz substrates.

Fig. 1(a), the occurrence of three prominent peaks for Sc thin film sample can be assigned to (100), (002) and (101) reflection planes of hcp Sc, whereas for ScN samples, three different growth stages can be witnessed with variation in R_{N_2} flow namely, (i) interstitial incorporation of N atoms within hcp Sc, (ii) formation of NaCl rocksalt type fcc-ScN, and (iii) gradual expansion of ScN lattice due to incorporation of N atoms in fcc-ScN.

Here, it is to be mentioned that an earlier report on deposition of polycrystalline ScN thin film samples on quartz substrates at $T_s = 300$ K using rf magnetron sputtering have reported amorphous growth and later on tuning of T_s to high temperature resulted in preferential grain growth either along (111) or (200) plane, albeit the XRD data for the as-deposited samples were not presented therein [46]. However, in the present work, at a very initial stage of $R_{N_2} = 1.6\%$, the N atoms occupy the interstitial sites of hcp Sc manifesting an asymmetry and broadening in the reflection peak which suggests phase co-existence of hcp Sc and fcc ScN at certain phase fractions (as could also be evidenced from our Sc K-edge XAS data) and an enhancement in the crystalline disorder. Later on, when R_{N_2} was increased to 2.5%, the sample exhibited a highly

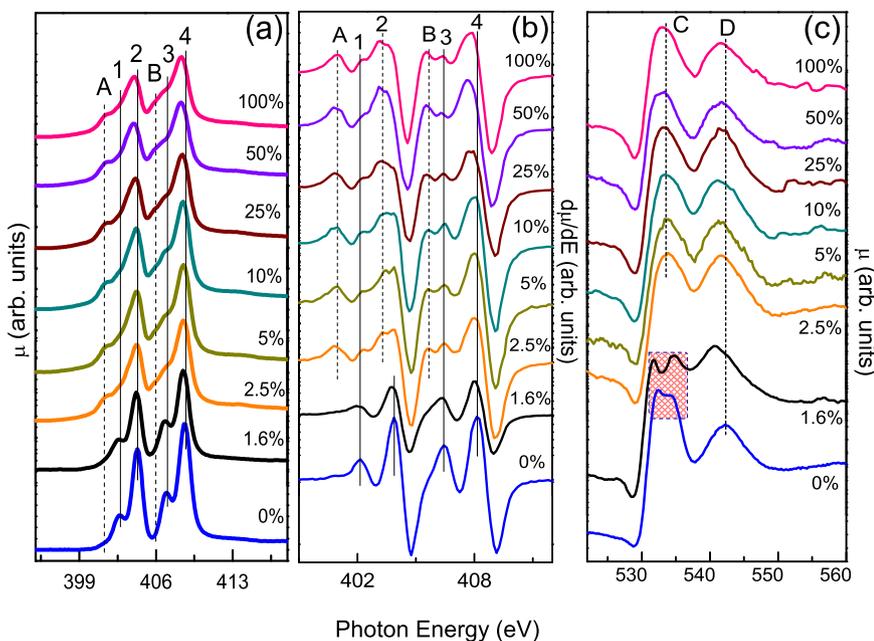


Fig. 2. Normalized Soft XAS spectra of Sc L_{III}, L_{II} and N K-edge (a), first order derivative of absorption spectra with respect to the photon energy (b) and O K-edge (c) of pure Sc and ScN samples deposited at various $R_{N_2} = 1.6, 2.5, 5, 10, 25, 50$ and 100% on Si (100) substrates.

textured orientation with (111) and (222) reflection planes, resembling a rocksalt NaCl type structure even though the LP was 4.49 Å, slightly less than the theoretically predicted value of 4.501 Å [31]. With further increase in R_{N_2} flow (from 5 to 50%), the texturing of the samples remain unaltered, but due to gradual incorporation of N atoms within the crystal lattice, it starts to expand as shown in Fig. 1(a) by the gradual peak shifts of both (111) (magnified view shown in the highlighted inset) and (222) reflection peaks towards the lower diffraction angle (shown by dotted lines) accompanied with an increase in the crystallite size (Fig. 1(b)).

Such unidirectional grain growth can be attributed to the kinetics driven mechanism at ambient temperature deposition (≈ 300 K), due to trapping of the less mobile adatoms in the highest surface energy site i.e along the (111) reflection plane of ScN [47]. Besides, further increase in R_{N_2} at 100% flow causes lattice expansion in expense of reduced peak intensity of (111) reflection plane due to possible oversaturation of N, resulting in broadening of the (111) peak (as can be seen in highlighted region of Fig. 1(a)) with reduced crystallite size (Fig. 1(b)), and further absence of (222) grain growth suggests shattering of the long range periodicity. In view of growth evolution of Sc \rightarrow ScN at various R_{N_2} flow with electronic properties, Soft XAS measurements were performed and are discussed in Section 3.2.

3.2. Soft X-ray absorption spectroscopy

To get an insight on the local electronic structure of Sc and ScN thin film samples with variation in R_{N_2} flow, Soft XAS spectra of Sc L-edge and N K-edge were recorded and are shown in Fig. 2(a), whereas, the first order derivative of the absorption spectra with respect to the photon energy is described in Fig. 2(b). Additionally, to probe the oxidation effect, Fig. 2(c) demonstrates the O K-edge XANES spectra of the samples. Here, it is to be mentioned that for a pure Sc sample, two absorption edges namely L_{III} and L_{II} are expected due to spin-orbit splitting of the Sc 2p orbital into 2p_{3/2} and 2p_{1/2} states in the absence of any ligand (C, N, O etc.) around the vicinity of Sc, a consequence of the transition of core electron from Sc 2p_{3/2} \rightarrow Sc 3d (L_{III}) and Sc 2p_{1/2} \rightarrow Sc 3d (L_{II}) states [48]. However, in the present case, the prominent features of Sc sample in Fig. 2(a) and 2(b) marked as '1', '2', '3' and '4' can be assigned to L_{III} (t_{2g}), L_{III} (e_g), L_{II} (t_{2g}) and L_{II} (e_g) edges respectively due to the presence of a finite amount of unintentional O ligand field, which led

to hybridization of Sc 3d-O 2p orbitals resulting in further splitting of each L_{III} and L_{II} features into t_{2g} and e_g .

Subsequently, the effect of surface oxidation/oxidation during the deposition itself for Sc sample is even pronounced from the O K-edge, where the doublet appearing at around 532.4 and 534.4 eV (shown by the pink highlight) can be inferred to t_{2g} (O 2p π + Sc3d) and e_g (O 2p σ + Sc3d) states due to the possible octahedral ligand field splitting (10Dq), whereas the broad feature 'D' arises due to hybridization of the O 2p with 4sp states of Sc [48,49]. Similarly, for $R_{N_2} = 1.6\%$ sample, both Sc L-edge and O K-edge features mimic the same trend as metallic Sc thin film sample, but an increase in 10Dq $\approx 2.9(\pm 0.3)$ eV can be observed, which might be due to the shrink in volume of interstitial ScN during the process of structural transformation from Sc \rightarrow ScN. Hence, O K-edge spectra confirms the incorporation of bonded O on the sample surface which can be present either in the form of Sc_xO_y (for Sc)/ScO_xN_y (for 1.6% ScN), but, certainly not Sc₂O₃ (10Dq_{[Sc-O]} = 3.3 eV), as both samples were of metallic grey in color (as opposed to transparent Sc₂O₃).}

With further increase in R_{N_2} from 2.5 to 100%, as can be seen from Fig. 2(a) and 2(b), two new pronounced features labelled as 'A' and 'B' arises which can be ascribed to ligand field splitting in the presence of N octahedral environment and noticeable reduction in the intensity of features '1' and '3' can be detected which can be better discerned from the O K-edge spectra. Here, instead of a doublet, a new feature 'C' can be noted. The appearance of similar experimental spectra have also been reported by Kumar et al. in the O K-edge of TiN ($T_s = 1023$ K) and through a combined study of DFT and *ab-initio* full potential multiple-scattering (FMS) theory, they concluded that such feature arises due to the presence of substitutional (O_N) and interstitial (O_i) oxygen in a defect complex state (4O_N + O_i) [21]. Hence, the contribution of defects in early TMNs are comparable for both ambient and high T_s depositions. As mentioned earlier, since the N K-edge and Sc L-edge appears very close to each other, Nayak et al. have performed theoretical simulations based on the FMS theory and reported a value of 10Dq = 2.1 eV [5], which is in well agreement with the experimentally observed value of $\approx 2.3(\pm 0.3)$ eV obtained in the present work. Even though, it appears from Fig. 2(a) that the energy features of '2' and '4' remain almost unaltered with an increase in R_{N_2} flow (2.5% and above), but first order derivatives of the absorption spectra divulged a diverse profile where a new feature (around '2' and less pronounced for feature '4') towards the lower energy side for these samples is clearly visible as evidenced from

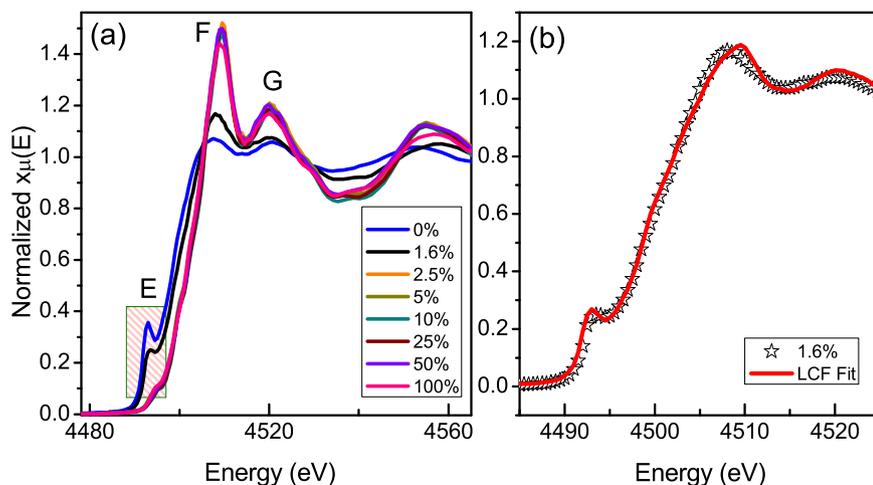


Fig. 3. Normalized Sc K-edge XAFS spectra of metallic Sc and ScN thin film samples deposited at various $R_{N_2} = 1.6, 2.5, 5, 10, 25, 50$ and 100% on amorphous quartz substrates (a) and linear combination fit (LCF) of 1.6% sample.

Fig. 2(b), which can be attributed as L_{III} (e_g) and L_{II} (e_g) corresponding to Sc-N bonds. Considering the new features of ScN, the spin-orbit splitting comes out to be $4.8(\pm 0.3)$ eV, well in agreement as reported for ScN [29].

3.3. Sc K-edge x-ray absorption spectroscopy

So as to achieve complementary information about the electronic structure, Fig. 3(a) depicts the normalized Sc K-edge XANES spectra of Sc and ScN samples deposited at various R_{N_2} flow and Fig. 3(b) shows the linear combination fit (LCF) of 1.6% sample. The spectra of Sc and 1.6% ScN sample shows distinct features in comparison to samples deposited at relatively high R_{N_2} flow. In the pre-edge region, an intense feature 'E' can be seen for Sc sample and with R_{N_2} flow at 1.6%, it gets feeble. Such intense pre-edge feature has previously been reported for metallic hcp Ti (for both foil and film) with hexagonal symmetry and has been attributed to $1s \rightarrow 3d$ electric quadrupole transition ($\Delta l = \pm 2$) [50,51]. It is conventional that pre-edge features differ due to different geometrical parameters such as, inversion symmetry, co-ordinations and bonding configurations (e.g. bond length, bond angles) etc [52]. For 1.6% sample, the best fit was obtained considering the phase co-existence of both Sc ($71.9(\pm 0.9)$ %) and ScN ($28.1(\pm 0.9)$ %) phases.

On the contrary, with further incorporation of N, the intensity gradually reduces from $R_{N_2} = 1.6\%$ to 2.5%, and are alike for rest of the samples. Since, it is well established that Sc is bonded with nearest neighbor N atoms in an octahedral co-ordination sphere with inversion symmetry [29], the emergence of weak pre-edge feature can be ascribed to $1s$ core electron transition to $3d$ states of the absorber having a partial contribution from the $2p$ orbitals of N under the allowed electric dipole transition scheme ($\Delta l = \pm 1$), like in TiN [53].

In addition, nitridation of the samples consequences in continuous shift of the absorption edge ($E_0 =$ taken at 50% of the absorption spectra) [54] towards the higher energy side at $E_0 = 4496(\pm 0.3)$ (Sc), $4497.4(\pm 0.3)$ ($R_{N_2} = 1.6\%$) and $4500.3(\pm 0.3)$ ($R_{N_2} = 2.5\%$) eV which can be interpreted in terms of higher core-hole screening due to increase in valence states of Sc from Sc \rightarrow ScN. However, above the absorption edge in the XANES region, the feature 'F' and 'G' can be assigned to $1s \rightarrow 4p$ electric dipole allowed transitions of a core electron as evidenced for other transition metal compounds e.g. TiC [55]. A diverse trend of feature 'F' can be attributed to the different stacking sequence of Sc (ABAB for hcp) and ScN (ABCABC for fcc) samples, where higher fcc phase fractions result in sharp intense peak (characteristic features of TMNs) in comparison to diffuse kind of feature for Sc thin film samples [56,57], due to a possible intermixing between $3d$ quadrupole and $4p$ dipole states [30]. It is worth mentioning here that in the present study, Sc K-edge of pure Sc thin film sample does not replicate the Sc

Table 2

The metrical parameters obtained from the fitting of the EXAFS data recorded at Sc K-edge. Considering the central atom as Sc, here, N and N' = first and second nearest neighbor co-ordination, R_{Sc-N} and R_{Sc-Sc} = atomic pair distance of the first and second neighbors i.e. Sc-N and Sc-Sc, σ_{Sc-N} and σ_{Sc-Sc} = root mean square displacement obtained from fitting of the first and second shell.

R_{N_2} (%)	N	R_{Sc-N} (Å)	σ_{Sc-N} (Å)	N'	R_{Sc-Sc} (Å)	σ_{Sc-Sc} (Å)
0%	-	-	-	7.23	3.25	0.098
	-	-	-	(± 1.82)	(± 0.02)	(± 0.01)
1.6%	2.252	2.189	0.064	5.78	3.136	0.098
	(± 0.676)	(± 0.025)	(± 0.031)	(± 1.59)	(± 0.021)	(± 0.017)
2.5%	4.48	2.25	3.358	9.25	3.20	0.06
	(± 1.34)	(± 0.02)	-	(± 2.55)	(± 0.02)	(± 0.02)
5%	7.42	2.23	2.488	8.93	3.19	0.06
	(± 2.22)	(± 0.03)	(± 0.03)	(± 2.42)	(± 0.02)	(± 0.02)
10%	8.03	2.23	0.11	9.40	3.20	0.07
	(± 2.4)	(± 0.03)	(± 0.03)	(± 2.6)	(± 0.02)	(± 0.02)
25%	5.12	2.27	0.06	7.46	3.23	0.04
	(± 1.5)	(± 0.03)	(± 0.03)	(± 2.14)	(± 0.02)	(± 0.02)
50%	5.86	2.23	0.07	8.25	3.21	0.07
	(± 1.75)	(± 0.03)	-	(± 2.3)	(± 0.02)	(± 0.02)
100%	7.61	2.28	0.07	5.39	3.27	0.05
	(± 2.28)	(± 0.02)	-	(± 1.62)	(± 0.02)	(± 0.03)

K-edge spectra of Sc_2O_3 studied by Chassé et al. [58], consistent with our XRD data analysis. Since, fluorescence detected XAS is known to be a bulk sensitive technique with penetration depth ranging in micrometers [51] compared to surface sensitive Soft XAS where the depth scale ranges only in nanometer scale (≈ 10 nm) [30], the averaged out bulk information from the Sc K-edge XAS data further confirms the presence of higher surface oxidation in the samples as was evidenced from the Sc L-edge and O K-edge data.

Fig. 4 (a) and (b) shows the Fourier Transform (FT) moduli $|\chi(R)|$ and the real component $[Re \chi(R)]$ of the Sc K-edge EXAFS spectra as a function of radial distance ($R-\phi$) and the corresponding best fit, whereas, Fig. 4(c) demonstrates the $\chi(k) \times k^3$ spectra. For fitting, hcp and cubic rocksalt type NaCl structure of Sc and ScN were considered having space groups of P63/mmc [59] and Fm $\bar{3}$ m [15], respectively. The fitting was performed using LP obtained from the XRD data and the obtained metrical parameters are tabulated in Table 2.

For Sc sample, the single shell of FT spectra corresponds to Sc co-ordinated to $7.2(\pm 1.8)$ Sc atoms each having an atomic pair distance of $3.25(\pm 0.02)$ Å. Hence, the local co-ordination environment is rather in a distorted hexagonal symmetry which might be responsible for an intense pre-edge feature across the Sc K-edge absorption spectra (Fig. 3). In addition, for ScN, considering a theoretical LP of $a^* = 4.501$ Å [15,31],

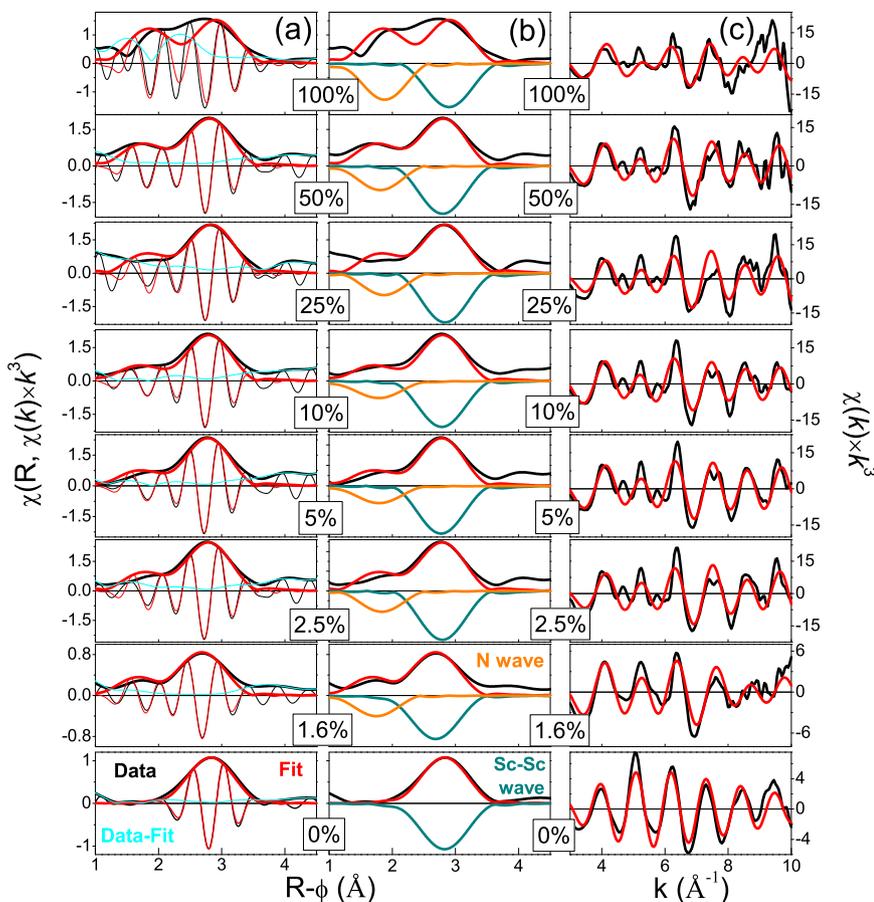


Fig. 4. Comparison of Fourier transform (FT) moduli $\chi(R)$ (a), $\text{Re}[\chi(R)]$ (b) in the R range and $\chi(k) \times k^3$ spectra in the k range (c) of Sc and ScN thin film samples deposited at various $R_{N_2} = 2.5, 5, 10, 25, 50$ and 100% on Si (100) substrates.

the first and second nearest neighbor distances can be anticipated at $R_{\text{Sc-N}}^* = a/2 = 2.25 \text{ \AA}$ and $R_{\text{Sc-Sc}}^* = a/\sqrt{2} = 3.18 \text{ \AA}$ [60]. As can be seen from Fig. 4(a) and (b), the two consecutive maxima distributed over $R-\phi = 1 - 3.9 \text{ \AA}$ range correspond to the first Sc-N and second Sc-Sc nearest neighbor bonds. For 1.6% sample, even though it was not possible to obtain the structural parameters from the XRD data due to appearance of a single broad peak, but the obtained EXAFS fitting parameters from Table 2 clearly states the interstitial nature of the sample with less content of both N and Sc atoms in the first and second shell co-ordinations to fully evolve to the fcc phase considering only the ScN phase during fitting. Apparently, at higher R_{N_2} flow (above 2.5%), all ScN samples typically exhibit the octahedral inversion symmetry as expected in NaCl structure and corroborates well with our XRD data within the experimental resolution, as expected. To take into account the optical and mechanical response of the ScN samples, UV-vis measurements along with nanoindentation tests were performed and are discussed in Section 3.4.

3.4. Optical & mechanical behavior

In order to delve the optical properties with electronic structure, Fig. 5(a) shows the Tauc's plot curve of α as a function of incident photon energy for ScN thin film samples deposited at $R_{N_2} = 2.5, 5, 10, 25, 50$ and 100% flows. The optical bandgap values were obtained from the intersection of the energy axis by extrapolating the linear least square fitting curve around the inflection point using the Tauc relation, $\alpha h\nu = A(h\nu - E_g)^{1/2}$ for direct transitions [61] where, $h\nu$ = photon energy, E_g = optical bandgap and A is proportionality constant. As mentioned earlier in Section 3.2, both Sc and 1.6% ScN sample were metallic in nature and did not show any absorption in the whole energy spectrum. Beyond $R_{N_2} = 1.6\%$, all the samples showed semiconducting behavior with a

well-defined optical bandgap. In this context, it is to be mentioned that ScN exhibits an indirect bandgap of 0.9 eV [32,62], whereas, two direct bandgaps at 2.2 and 3.8 eV were reported [3,31]. Generally, only the first direct bandgap has been reported (see Table 1) and will also be considered in this work. The large variation in the reported bandgap values have been attributed either to the formation of defect states near the conduction band for n-type degenerate semiconductor termed as 'Burstein-Moss band filling effect' [63] or to the strain mediated effects which can modulate the energy band shifts to a certain extent [64]. The trend was non-linear in nature with a maximum value of 2.62 eV for 5% ScN sample and a minimum of 2.25 eV for sample deposited at $R_{N_2} = 100\%$. Thus, the variations in the bandgap values in the present study can be inferred primarily to the contribution of defects as the stress-strain mediated changes might be negligible in the present case, as all the samples were deposited on amorphous quartz substrates. It is worth mentioning here that the bandgap values of ScO_xN_y and Sc_2O_3 are reported to be 3.25 eV and 5.6 eV, respectively [5], which are way higher than the obtained bandgaps in the present study.

Fig. 5 (b) demonstrates the measured indentation hardness (H) and modulus (E) of the ScN thin film samples as a function of R_{N_2} , whereas, Fig. 5(c) illustrates the ratio of (H^3/E^2) which corresponds to the resistance of ScN samples to plastic deformation as a function of H . As expected, Sc exhibits the lowest H and E values of $8(\pm 1.3)$ and $79(\pm 7)$ GPa, respectively. With nitridation, both H and E increases monotonically from 15–27 GPa to 152–268 GPa for the ScN samples, except for $R_{N_2} = 2.5\%$, which is close to the calculated value of 25 GPa for ScN [65]. At $R_{N_2} = 2.5\%$, the values maximizes at $34(\pm 6.2)$ and $476(\pm 157)$ GPa, respectively, which can be attributed to the highest density as estimated from the XRD data [66], smaller grain size and strong (111) and (222) texturing of the ScN sample [67]. With increase in $R_{N_2} = 2.5\text{--}50\%$, from the XRD data (Fig. 1), it is evident that the XRD peaks shift chronically

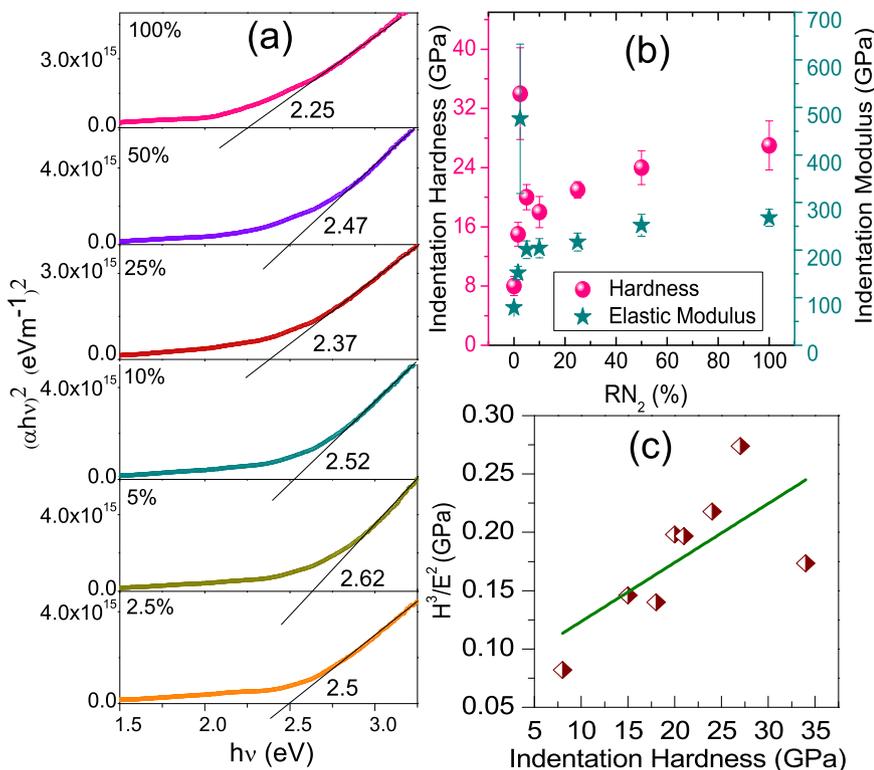


Fig. 5. Obtained direct bandgaps from the Tauc's plot of the absorption co-efficient as a function of incident photon energy measured on amorphous quartz substrates (a), nano-indentation hardness and modulus with error bars (b) and the ratio of (H^3/E^2) depicting resistance to plastic deformation of ScN thin film samples as a function of hardness taken on Si (100) substrates (c), deposited at room-temperature at various $R_{N_2} = 1.6, 2.5, 5, 10, 25, 50$ and 100% flow.

along the lower diffraction angle demonstrating in-plane compressive residual stress. In addition, the texturing effect is well retained, which is known to be the highest density plane and the corresponding H is considered to be the highest along the (111) plane [68] and are the reasons behind the increase in H . Even though, the texturing effect is witnessed for $R_{N_2} = 100\%$ sample only along the (111) plane, but the decrease in grain size in turn elevates the grain boundaries which could lead to plausible rise in H value [67]. Furthermore, the gradual rise in E values from Sc \rightarrow ScN can be attributed to the strong covalent bond formation of Sc-N than metallic Sc-Sc bonds in Sc [44], as evidenced from our EXAFS study. Apart from this, the ScN thin film samples also show a propitious resistance to plastic deformation (H^3/E^2) following a linear trend with increase in H values [69], with an exception of 34 GPa in case of $R_{N_2} = 5\%$ sample. However, it is to be mentioned here that typically the values of H and E are likely comparable in the range of $R_{N_2} = 2.5$ –100% within the error bars.

4. Discussion

At an early stage of subtle nitridation ($R_{N_2} = 1.6\%$), combined XRD and XAS study reveal formation of an interstitial compound in the intermediate stage during evolution from hcp Sc to fcc ScN and with higher content of N, at $R_{N_2} = 2.5\%$ and above, adaptation of fcc phase with octahedral symmetry were observed. Here, it is interesting to note that likewise in early TMNs, ScN does not possess bimetallic phases (e.g. Ti_2N , V_2N and Cr_2N) at ambient temperature and pressure, and rather manifests a large homogeneity range (retaining NaCl type rocksalt crystal structure from as low as $R_{N_2} = 2.5\%$ to as high as 100%). In contrary, with increase in N_2 atomic %, the overall crystal lattice withstands a minimal lattice expansion of only $\approx 1.7\%$ at highest $R_{N_2} = 100\%$ for ScN. It could be well discerned in terms of higher interstitial lattice volume of ScN compared to other early TMNs in the series (TiN, VN and CrN). Since, the early TMNs exhibit NaCl type rocksalt crystal structure, the corresponding octahedral interstitial site occupancy of nitrogen atoms would be at edge centers of the unit cell i.e. at $(\frac{1}{2}, 0, 0)$, $(0, \frac{1}{2}, 0)$, $(0, 0, \frac{1}{2})$ and at the center i.e. $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ position of the respective unit cell. Con-

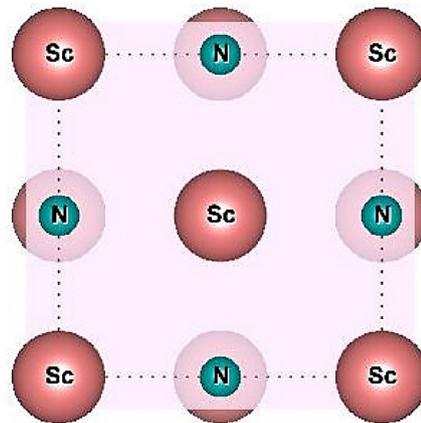


Fig. 6. Representative unit cell of ScN (100) crystal plane.

sidering the (100) lattice plane of the unit cell, from the simple pictorial overview as shown in Fig. 6 for ScN sample, the radius of the interstitial site (R_{int}) can be similarly evaluated for early TMNs by solving two basic equations along the edge and diagonal as,

$R_{TM} + 2R_{int} + R_{TM} = a, \dots(i)$ and, $R_{TM} + 2R_{TM} + R_{TM} = (a^2 + a^2)^{\frac{1}{2}}, \dots(ii)$ where, R_{TM} = radius of TM atom, and a = LP of TMN. Hence, the corresponding structural parameters of the early TMNs are enlisted in Table 3.

From Table 3, it is evident that ScN exhibits the largest unit cell with higher fraction of interstitial volume among the early TMNs, leading to a large homogeneity range for retaining the fcc rocksalt phase accompanied with minuscule lattice expansion. In this context, it is worth mentioning that Al et al. have reported that ScN can withstand up to $\approx 20\%$ of N vacancies within the crystal lattice [73]. Such a large homogeneity range has also been witnessed for other early TMNs like TiN_x ($0.67 \leq x \leq 1.3$) [74], VN_x ($0.79 \leq x \leq 0.96$) [75] etc. in the octahedral symmetry. In addition, combined Soft XAS study at Sc L-edge, N K-edge and O

Table 3

Early transition metals (TM) and their corresponding crystal structures (CS) and lattice parameters (LP_{TM}). In comparison to metal counterparts, lattice parameters (LP_{TMN}) of their nitrides with calculated radius of interstitial octahedral site (R_{int}) have been tabulated below.

TM	CS	LP_{TM} (Å)	TMN	LP_{TMN} (Å)	R_{int} (Å)	Ref.
Sc	hcp	a = b = 3.309, c = 5.273	ScN	4.501	0.659	[70]
Ti	hcp	a = b = 2.951, c = 4.684	TiN	4.24	0.621	[71]
V	bcc	a = 3.03	VN	4.139	0.606	[66]
Cr	bcc	a = 2.885	CrN	4.14	0.606	[72]

K-edge reveal that the effect of oxidation is less pronounced for nitrated samples compared to their metal/interstitial counterparts. This is due to formation of strong Sc-N covalent bonds which results in relatively high Gibbs energy for oxide formation (-6.48 eV) of ScN than metallic Sc-Sc bonds in pure Sc (-9.43 eV) at ≈ 298 K [13]. Furthermore, our Sc K-edge XANES spectra confirms distinct evolution from hexagonal to octahedral symmetry complemented with a clear rise in the valence state for ScN samples consistent with our XRD results. The pre-edge features of neither Sc nor ScN resembles the Sc K-edge oxide spectra [58] emphasizing on the higher surface oxidation effect in all these samples. Nonetheless, the local electronic structure as recorded from EXAFS replicates the XRD data with further insight on the presence of local defects in the vicinity of Sc atoms but the visible changes are however marginal for all the samples. Even so, the non-monotonic variations in the optical bandgaps across the whole R_{N_2} range have no clear trend and in this scenario, it is difficult to correlate them in terms of defects as in case of polycrystalline thin film samples, the role of defects are always expected to be higher than epitaxial ScN thin film samples studied so far. However, the bandgap values in the present study lie well within the energy regime of ScN thin film samples as observed for high T_s depositions on single crystal substrates. Additionally, the hardness and indentation modulus agrees well during the evolution from Sc \rightarrow ScN with a linearly increasing trend of resistance to plastic deformation except at 34 GPa in case of $R_{N_2} = 5\%$ sample and also matches well with those reported in the literature [76,77] within the experimental error bars.

Conclusion

In lieu of adaptation of high temperature depositions hitherto, as-deposited ScN thin film samples exhibited highly textured orientation along the (111) and (222) reflection planes grown on amorphous quartz substrates due to preferable highest surface energy configuration at low temperature regime (here 300 K). Soft XAS study reveals pronounced incorporation of oxygen in metallic Sc and interstitial ScN sample deposited at $R_{N_2} = 1.6\%$ flow, although reduction of oxygen content can be witnessed with nitridation of the samples ($R_{N_2} = 2.5 - 100\%$). Complementary Sc K-edge XAS study shows distinct evolution from Sc \rightarrow ScN, where an intense pre-edge feature stems from non-centrosymmetric distortion for Sc and interstitial ScN ($R_{N_2} = 1.6\%$) sample, whereas, octahedral symmetry was retained by rest of the ScN samples deposited at higher R_{N_2} flow. From UV-vis measurement, the obtained direct optical bandgaps were found to vary between 2.25 and 2.62 eV for $R_{N_2} = 2.5-100\%$, well in agreement with the values routinely reported in literature for epitaxial ScN thin film samples. Even so, the nano-indentation measurements validates the high hardness of highly elastic ScN thin film samples ranging between 15 and 34 GPa with a monotonically increasing trend in the value of resistance to plastic deformations with an exception at 34 GPa in case of $R_{N_2} = 5\%$ sample. In turn, the large homogeneity range of Sc-N system has been compared with elemental early 3d transition metal nitride series viz. TiN, VN and CrN to comprehend

the phase stability of cubic NaCl rocksalt type structure of ScN thin film samples over large variation in R_{N_2} flow. Hence, cumulative inferences drawn from this work can be epitomized as high vacuum deposition is imperative for high quality ScN samples but an alternate room temperature deposition can be adopted as opposed high T_s , to minimize the unintentional diffusion mechanisms at elevated temperatures finding applications in electronics viz. CMOS integrated circuits, free standing films on plastic substrates.

Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Declaration of Competing Interest

The authors declare no conflict of interest.

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Supplementary material

Supplementary material associated with this article can be found, in the online version, at [10.1016/j.mta.2022.101375](https://doi.org/10.1016/j.mta.2022.101375).

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